GENERAL NOTES

Data in the tables of this publication were derived from the Survey of Federal Funds for Research and Development and cover fiscal years 1997–99. They reflect research and development (R&D) funding levels as reported by 32 Federal agencies in February through August 1998. All agencies that were identified as conducting R&D programs were surveyed.

R&D totals in these tables are given in both outlays and obligations. The R&D obligation data are further categorized according to character of work (basic research, applied research, and development), performer, field of science or engineering (for research but not for development), and Federal R&D funding by State. Obligations for research performance at universities and colleges by fields of science or engineering are also shown, as are R&D plant data.

The amounts reported for each year are expressed in obligations or outlays incurred, or expected to be incurred, in that year, regardless of when the funds may have been authorized, appropriated, or received by an agency, and regardless of whether the funds are identified in an agency's budget specifically for research, development, or R&D plant.

Data for 1997 are actual, representing completed transactions. Data for 1998 and 1999 are estimated because they do not represent final actions. The Survey of Federal Funds for Research and Development was conducted during the third quarter of fiscal year 1998. The amounts reported for 1998 reflect congressional

appropriation actions as of that period, as well as apportionment and reprogramming decisions as of that time. Data for 1999 represent administration budget proposals that had not been acted on. Authorization, appropriation, deferral, and apportionment actions that were completed after these data were collected will be reflected in later surveys of this series.

Accuracy of the data depends in part on the judgment of the respondent. Since many agency R&D programs are not identified as budget-line items, agency officials must identify R&D and R&D plant activities within broader programs. Over the years personnel of the participating agencies have developed increasing skill and consistency in meeting the survey requirements, and their interaction with the National Science Foundation staff has considerably increased the reliability of the data.

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Note

For trend comparison, use only the historical data for fiscal years 1978–99 contained in tables C-92 through C-111a in this Federal funds, Volume 47 series. These tables incorporate changes in prior-year data made by the agencies to reflect program reclassifications. Do not use data published earlier.